

**SUMMARY of the Decision of the Inquiries, Complaints and Reports Committee
(the Committee)**
(Information is available about the complaints process [here](#) and about the Committee [here](#))

**Dr. William Andrew Roy (CPSO# 23704)
General Practice
(the Respondent)**

INTRODUCTION

The Respondent entered into an undertaking with the College in September 2018 in which he agreed to cease to prescribe narcotics and other controlled drugs (the September 2018 undertaking). The Respondent also entered into an undertaking in November 2019 in which he agreed to engage in clinical supervision, professional education and a reassessment of his practice (the November 2019 undertaking).

The reassessment arising from the November 2019 undertaking identified issues around the Respondent's medical record-keeping.

Periodic reviews of the Respondent's prescribing by College Compliance and Supervision staff through the Narcotics Monitoring System (NMS) on several dates in 2020 and 2021 also identified concerns about breaches of the Respondent's September 2018 cease to prescribe undertaking, in that there were records of him prescribing restricted medications.

Subsequently, the Committee considered information related to both undertakings and approved the Registrar's appointment of investigators in relation to the same.

COMMITTEE'S DECISION

A General Panel of the Committee considered this matter at its meeting of August 11, 2021. The Committee required the Respondent to attend at the College to be cautioned in person with respect to his breach of his September 2018 cease to prescribe undertaking.

The Committee also accepted an undertaking from the Respondent, consisting of clinical supervision, professional education in medical record-keeping, reassessment, and monitoring.

COMMITTEE'S ANALYSIS

Information in the investigative record showed that the Respondent breached his September 2018 undertaking on multiple occasions by prescribing narcotics or

controlled substances. The Respondent did so, in spite of having been reminded of the importance of adhering to that undertaking, on multiple occasions.

The Committee noted the Respondent had previously been disciplined and reprimanded by the College for breaching the terms of an earlier 2017 undertaking, by prescribing narcotics while unsupervised.

The Committee regards any failure to comply with an undertaking made to the College very seriously, in that such failure has the potential to undermine the public's confidence in the College's ability to govern the profession in the public interest.

The Committee determined that the appropriate disposition was to require the Respondent to be cautioned in this matter as set out above.

The Committee was also concerned that the Respondent's recent reassessment identified significant medical record-keeping problems in spite of previous education, medical records courses and supervision. The Committee noted the Respondent's record-keeping is not in accordance with the expectations of physicians as set out in the College policy, *Medical Records Documentation*, which describes how understanding and initiating proper documentation is critical to good patient care.

The Committee concluded its concerns would be satisfied if an undertaking could be obtained from the Respondent to address deficiencies related to his medical record-keeping.