

UNDERTAKING, ACKNOWLEDGEMENT AND CONSENT
(“Undertaking”)

of

DR. BALDEV RAJ SETHI
(“Dr. Sethi”)

to

COLLEGE OF PHYSICIANS AND SURGEONS OF ONTARIO
(the “College”)

A. PREAMBLE

(1) In this Undertaking:

“Discipline Committee” means the Discipline Committee of the College;

“OHIP” means the Ontario Health Insurance Plan;

“Narcotic Drugs” means from the Narcotic Control Regulations made under the *Controlled Drugs and Substances Act*, S.C., 1996, c. 19, as amended;

“Narcotic Preparations” means from the Narcotic Control Regulations made under the *Controlled Drugs and Substances Act*, S.C., 1996, c. 19, as amended;

“Controlled Drugs” means from Part G of the Food and Drug Regulations under the *Food and Drugs Act*, S.C., 1985, c. F-27, as amended;

“Benzodiazepines and Other Targeted Substances” means from the Benzodiazepines and Other Targeted Substances Regulations made under the *Controlled Drugs and Substances Act*, S.C., 1996, c. 19, as amended;

(A summary of the above-named drugs [from Appendix I to the Compendium of Pharmaceuticals and Specialties] is attached hereto as Appendix “A”; and the current regulatory lists are attached hereto as Appendix “B”)

“All other Monitored Drugs” means as defined under the *Narcotics Safety and Awareness Act*, 2010, S.O. 2010, c. 22, as amended, as noted in Appendix “C”;

“NMS” means the Drug Program Services Branch, the Narcotics Monitoring System implemented under the *Narcotics Safety and Awareness Act*, 2010, S.O. 2010, c. 22, as amended;

“Public Register” means the College’s register that is available to the public.

(2) I, **Dr. Sethi**, certificate of registration number **98503**, am a member of the College.

- (3) I, **Dr. Sethi**, acknowledge that the College conducted an investigation bearing File Number 1100726 (the “Investigation”) into my prescribing practice.
- (4) I, **Dr. Sethi**, acknowledge that there has been no referral to the Discipline Committee in respect of the Investigation and that, after the College receives an original copy of this Undertaking as signed by me, no further action will be taken on the Investigation.

B. UNDERTAKING, ACKNOWLEDGEMENT AND CONSENT

- (5) I, **Dr. Sethi**, hereby resign from the College effective December 31, 2018 (the “Effective Date”).
- (6) I, **Dr. Sethi**, hereby undertake not to apply or re-apply for registration as a physician to practise medicine in Ontario or any other jurisdiction after the Effective Date.
- (7) I, **Dr. Sethi**, acknowledge that in the event that the College should become aware that I am in breach of this Undertaking including, but not limited to, becoming aware that I have either applied, re-applied or attempted to apply or re-apply for registration as a physician or for a certificate of registration, or equivalent, to practise medicine in any jurisdiction after the Effective Date, the College shall, in its sole discretion, have the right to proceed with a disciplinary proceeding on the basis of a breach of this Undertaking and shall have the right to proceed with the Investigation it terminated as a result of this Undertaking and/or to proceed with a referral of specified allegations to the Discipline Committee.
- (8) I, **Dr. Sethi**, hereby agree to bear the risk of any prejudice that the passage of time might cause to my ability to make full answer and defence, and waive the right to seek any remedy on the basis of the passage of time, should the College proceed with any allegations that may arise as a result of a breach of this Undertaking and/or pursuant to section (7) above.
- (9) I, **Dr. Sethi**, undertake to abide by the College’s Policy on Practice Management Considerations for Physicians Who Cease to Practise, Take an Extended Leave of Absence or Close Their Practice Due to Relocation, a copy of which is attached hereto as Appendix “D.”
- (10) I, **Dr. Sethi**, undertake, for the month of December 2018, not to initiate any patient on Narcotic Drugs, Narcotic Preparations, Controlled Drugs, Benzodiazepines and Other Targeted Substances and All other Monitored Drugs.
- (11) I, **Dr. Sethi**, undertake to provide the College with a list of my remaining patients on Narcotic Drugs, Narcotic Preparations, Controlled Drugs, Benzodiazepines and Other Targeted Substances and All other Monitored Drugs with a corresponding entry with respect to plans for referrals, including transitions in care, for these patients.
- (12) I, **Dr. Sethi**, undertake that upon signing this Undertaking, I shall forward a request to the General Manager of OHIP that my billing number be deactivated for services rendered after the Effective Date.
- (13) I, **Dr. Sethi**, acknowledge that all appendices attached to or referred to in this Undertaking form part of this Undertaking.

- (14) I, **Dr. Sethi**, acknowledge and undertake that I shall be solely responsible for payment of all fees, costs, charges, expenses, etc., if any, arising from the implementation of any of the provisions of this Undertaking.
- (15) I, **Dr. Sethi**, acknowledge and confirm that I have read and understand the provisions of this Undertaking and that I have obtained independent legal counsel in reviewing and executing this Undertaking, or have waived my right to do so.
- (16) I, **Dr. Sethi**, give my irrevocable consent to the College to make appropriate enquiries of OHIP and the NMS and/or any person who or institution that may have relevant information, in order for the College to monitor my compliance with the provisions of this Undertaking.
- (17) I, **Dr. Sethi**, acknowledge that I have executed the OHIP and NMS consent forms, attached hereto as Appendix “E” and “F”, respectively, and that the consents form part of this Undertaking.
- (18) ***Public Register***
 - (a) I, **Dr. Sethi**, consent to this Undertaking being posted on the Public Register.
 - (b) I, **Dr. Sethi**, acknowledge that, in addition to this Undertaking being posted in accordance with section (18)(a) above, the following summary shall be posted on the Public Register during the time period that this Undertaking remains in effect:

A College investigation was conducted into Dr. Sethi’s prescribing practice. In the face of the investigation, Dr. Sethi resigned from the College effective December 31, 2018, and has agreed never to apply or re-apply for registration as a physician in Ontario or any other jurisdiction.